



Plan S

Making full & immediate
Open Access a reality

Rights Retention Strategy **Стратегия сохранения** **авторских прав**

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Johan Rooryck | cOAlition S
Sally Rumsey | Jisc





Plan S

Making full & immediate
Open Access a reality

Part 1

сОAlition S and Plan S
сОАлиция S и План S





cOAlition S

27 организаций по всему миру

National funders

- Austria: FWF
- Luxembourg: FNR
- Quebec: QRF
- Finland: AKA
- Netherlands: NWO
- Slovenia: ARRS
- France: ANR
- Norway: RCN
- Sweden: FORMAS, FORTE, VINNOVA
- Ireland: SFI
- Poland: NCN
- **Switzerland: SNSF**
- Italy: INFN
- Portugal: FCT
- UK: UKRI

European Commission (Horizon Europe)

Charitable foundations

- The Wellcome Trust
- The Bill & Melinda Gates Foundation
- Howard Hughes Medical Institute (HHMI)
- Aligning Science Across Parkinson's (ASAP)
- Templeton World Charity Foundation (TWCF)

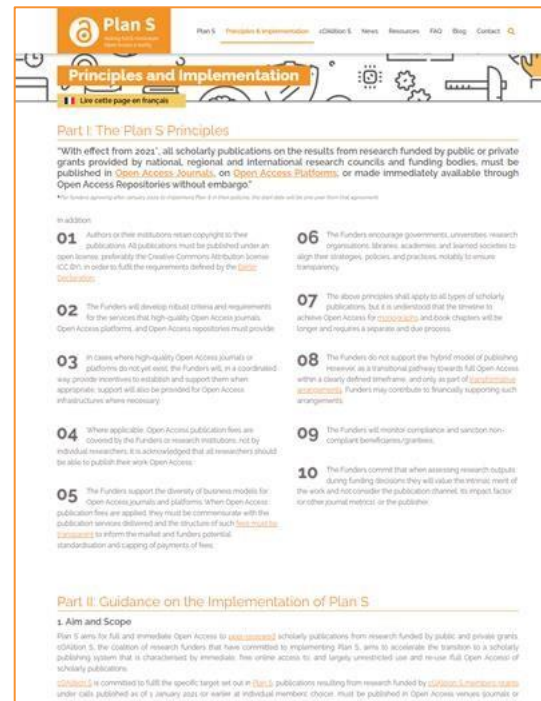
Global dimension

- World Health Organisation + TDR
- Jordan: HCST
- Zambia : NSTC
- South Africa : SAMRC

€35млрд/год на финансирование исследований, 150k статей/ год

Plan S

- План S сам по себе не является политикой
- План S – это набор из 10 принципов + руководство по реализации.
- Спонсоры cOAlition S договорились о скоординированном осуществлении 10 принципов Плана S и приведении своей политики в соответствие с этими принципами
- <https://www.coalition-s.org/addendum-to-the-coalition-s-guidance-on-the-implementation-of-plan-s/principles-and-implementation/>



Plan S
Principles & Implementation

Principles and Implementation

Part I: The Plan S Principles

"With effect from 2021, all scholarly publications on the results from research funded by public or private grants provided by national, regional and international research councils and funding bodies, must be published in **Open Access Journals**, on **Open Access Platforms**, or made immediately available through **Open Access Repositories without embargo**."

01 Authors or their institutions retain copyright to their publications. All publications must be published under an open license, preferably the Creative Commons Attribution License (CC BY), in order to fulfil the requirements defined by the [FAIR Certification](#).

02 The Funders will develop robust criteria and requirements for the services that high-quality Open Access journals, Open Access platforms, and Open Access repositories must provide.

03 In cases where high-quality Open Access journals or platforms do not yet exist, the Funders will, in a coordinated way, provide incentives to establish and support them when appropriate; support will also be provided for Open Access infrastructures where necessary.

04 Where applicable, Open Access publication fees are covered by the Funders or research institutions. Not by individual researchers. It is acknowledged that all researchers should be able to publish their work Open Access.

05 The Funders support the diversity of business models for Open Access journals and platforms. When Open Access publication fees are applied, they must be commensurate with the publication services delivered and the structure of such [fees must be transparent](#) to inform the market and funders' potential redistribution and capping of payments of fees.

06 The Funders encourage governments, universities, research organizations, libraries, academics, and learned societies to align their strategies, policies, and practices, notably to ensure transparency.

07 The above principles shall apply to all types of scholarly publications, but it is understood that the timeline to achieve Open Access for [preprints](#) and book chapters will be longer and require a separate and due process.

08 The Funders do not support the hybrid model of publishing research, as it is a transitory pathway towards full Open Access within a clearly defined timeframe, and only as part of [open access add-on contracts](#). Funders may contribute to financially supporting such arrangements.

09 The Funders will monitor compliance and sanction non-compliant beneficiaries/grantees.

10 The Funders commit that when assessing research outputs during funding decisions they will value the research merit of the work and not consider the publication channel, its impact factor or other journal metrics or the publisher.

Part II: Guidance on the Implementation of Plan S

1. Aim and Scope

Plan S aims for full and immediate Open Access to [research outputs](#) scholarly publications from research funded by public and private grants. cOAlition S, the coalition of research funders that have committed to implementing Plan S, aims to accelerate the transition to a scholarly publishing system that is characterized by immediate, free online access to, and largely unrestricted use and reuse of all Open Access or scholarly publications.

[cOAlition S](#) is committed to fulfil the specific target set out in [Plan S](#): publications resulting from research funded by [cOAlition S members](#) or any other calls published as of 1 January 2021 or earlier at individual member's choice, must be published in Open Access venues (journals or

Plan S: strong principle

- План S: «Начиная с 2021 года*, все научные публикации о результатах исследований, финансируемых за счет государственных или частных грантов, предоставленных национальными, региональными и международными исследовательскими советами и финансирующими органами, должны быть опубликованы в журналах открытого доступа, на платформах открытого доступа или немедленно доступны через репозитории открытого доступа без эмбарго».
- Все рецензируемые статьи должны быть немедленно открыты в ОД под лицензией CC-BY



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Part 2

Copyright and licensing

Авторское право и лицензирование



Plan S: три возможных пути

выполнения

Route 1

Full Open Access venues

- Authors publish in Open Access journal or platform indexed by *Directory of Open Access Journals* (DOAJ)
- cOAlition S funders financially support publication fees for author

Путь 2

Подписные журналы

- Авторы, публикующиеся в подписном журнале, **должны** мгновенно выложить в репозиторий либо Version of Record, либо Author Accepted Manuscript своей статьи
- *НЕ* поддерживается финансово за счет спонсоров cOAlition S

Route 3

Journals under a transformative arrangement

- Authors publish in a journal with a Transformative Arrangement.
- cOAlition S funders *CAN* financially support Transformative Arrangements

Plan S Принцип 1

- Авторы или их организация **сохраняют авторские права**
- Публикация должна быть под открытой лицензией, предпочтительно под лицензией **Creative Commons Attribution (CC BY)**



“The best way to guarantee we can achieve open access to our research, in all circumstances, is to stop giving away our control over it.”

«Лучший способ обеспечить возможность открытого доступа к нашим исследованиям при любых обстоятельствах, — это прекратить передавать свой контроль над ними».

Simon Bains, University Librarian, University of Aberdeen

BERLIN DECLARATION

The Internet has fundamentally changed the practical and economic realities of distributing scientific knowledge and cultural heritage. For the first time ever, the Internet now offers the chance to constitute a global and interactive representation of human knowledge, including cultural heritage and the guarantee of worldwide access. We, the undersigned, feel obliged to address the challenges of the Internet as an emerging functional medium for distributing knowledge. Obviously, these developments will be able to revolutionize the nature of scientific institutions as well.

Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities

The Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities of 22 October 2003 was written in English. It is one of the milestones of the Open Access movement. The wording of the English version shall prevail.

Preface

The Internet has fundamentally changed the practical and economic realities of distributing scientific knowledge and cultural heritage. For the first time ever, the Internet now offers the chance to constitute a global and interactive representation of human knowledge, including cultural heritage and the guarantee of worldwide access.

We, the undersigned, feel obliged to address the challenges of the Internet as an

SIGNING INSTRUCTIONS

Governments, universities, research institutions, funding agencies, foundations, libraries, museums, archives, learned societies and professional associations who share the vision expressed in the Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities are therefore invited to join the signatories that have already signed the Declaration.

Contact

Prof. Dr. Martin Stratmann
Präsident der Max-Planck-Gesellschaft
Hofgartenstraße 8
D-80539 München
Deutschland

Email: President or Open Access Contact

PDF VERSIONS BERLIN DECLARATION

- Arabic
- Chinese (Simp.)
- Chinese (traditional)
- English
- French
- German
- Greek
- Italian
- Polish
- Portuguese
- Russian
- Spanish
- Turkish

Copyright ownership

Qu 1. Кому принадлежат оригинальные авторские права на содержание исследовательской статьи?

Авторы

- «Авторское право защищает вашу работу и **запрещает другим использовать ее без вашего разрешения.**
- Авторы получают авторские права **автоматически**: им не нужно подавать заявку или платить пошлину».

Qu 2. Если автор подписывает лицензию на публикацию своей статьи, может ли автор контролировать использование содержания своей статьи?

Это зависит от автора. IPO в Великобритании заявляет:

«Как владелец авторских прав, **вы сами решаете**, лицензировать ли и как лицензировать использование вашей работы. Вы можете лицензировать использование своей работы, если у вас есть авторские права. **Вы также можете решить, как будет использоваться ваша работа**».

Что происходит на практике?

Contributor = автор(ы)
Владелец = Wiley

Издатель

- предлагает автору подписать соглашение о передаче авторских прав (Copyright Transfer Agreement, CTA) или исключительную лицензию на публикацию (Exclusive Licence to Publish LTP).
- Включает разрешение издателю на публикацию произведения –это юридически необходимо для публикации НО....
- Также включает ограничения на то, как автору «разрешено» использовать работу
- **Автор** подписывает соглашение (многие не читают)
- **Результат:** издатель получает контроль над правами использования

CTA

“The Contributor assigns to the Owner, during the full term of copyright and any extensions or renewals, all copyright in and to the Contribution, and all rights therein...”

LTP

“The Contributor grants to the Owner an exclusive license of all rights of copyright in the Contribution during the full term of copyright and any extensions or renewals...”



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Part 3

Plan S Rights Retention Strategy



Rights Retention Strategy (RRS)

The principle

The RRS базируется на простом принципе:

- Версия рецензируемой статьи **Author Accepted Manuscript, ААМ** является **результатом интеллектуальной деятельности авторов и принадлежит им.**
- Для утверждения права собственности автор – как первоначальный правообладатель – в процессе представления статьи снабжает **ААМ** версию **лицензией CC BY**.
- Предоставление издательских сервисов не дает издателям права собственности на **ААМ**, которая **остается интеллектуальной собственностью автора.**
- Издательские сервисы при подготовке публикации должны быть оплачены, но **не с правом собственности на ААМ.**
- Спонсоры и университеты **не должны допускать** того, чтобы их исследователи были **лишены прав на свою интеллектуальную собственность.**

Rights Retention Strategy (RRS)

Задачи

- **Основная задача:**
Все исследования, финансируемые организациями cOAlition S, переводятся в ОА без эмбарго + под лицензией CC BY
- **Права автора и контроль над ними:** Предоставить исследователям, работающим с cOAlition S, возможность сохранения права интеллектуальной собственности на свою рукопись в версии Author Accepted Manuscript (AAM).
- **Всеобщий доступ:** Авторы, владеющие правами на AAM версии, размещают их в репозитории.
- **Простота:** Преодоление сложности журнальных «разрешений»: **никакого эмбарго**. Лицензия CC BY на AAM позволяет авторам делиться AAM версией через репозитории и свободно использовать свой собственный материал повторно по своему усмотрению.



Плата за сервисы ≠ претензии на собственность

Я плачу рабочим за работы в
своем доме:

- ❖ Наклеить обои
- ❖ Пропескоструить деревянные
конструкции
- ❖ Отчистить и покрасить
рамы



<https://pixabay.com/photos/painter-painting-lackierer-3009887/>

Я оплачиваю эти работы:

- ❖ Я не предполагаю, что рабочие станут
собственниками дома после того, как они
его покрасили
- ❖ Я не передаю им ключи от дома
- ❖ Я не предполагаю, что рабочие
выдадут мне права на проживание только в
одной свободной комнате



<https://pixabay.com/photos/house-keys-key-security-door-key-4521073//>

<https://pixabay.com/photos/money-coins-euro-coins-currency-515058/>

Подводя черту: руки авторов связаны

Издатели:

- не вносят вклада в интеллектуальное содержание вашей работы
- требуют передачи авторских прав или исключительных лицензий
- могут свободно использовать работу, не спрашивая вашего разрешения

Автор:

- является создателем и изначальным правообладателем своей работы
- сильно ограничен в том, что он может делать со своей собственной работой. Включая использование внутри университета, например, университетского репозитория
- часто вынужден просить у издателя разрешения на повторное использование частей своей собственной работы.



Rights Retention Strategy (RRS)

Что должны сделать авторы

1

1. Сообщить издателю, что они используют RRS, исследователи, финансируемые cOAlition S, должны включить в свою рукопись следующие формулировки:

“This research was funded, in whole or in part, by [Organisation Name, Grant #]. A CC BY licence is applied to the AAM arising from this submission, in accordance with the grant’s open access conditions.”

«Это исследование финансировалось, полностью или частично, [Название организации, Грант #]. Лицензия CC BY применяется к ААМ версии, следующей из этого представления, в соответствии с ОД-условиями гранта».

2

1. В момент публикации: разместить ААМ версию в ОД через репозиторий
2. Связаться со своим спонсором (или библиотекой) в случае несогласия или уловок со стороны издателя

3



Publishers' smoke & mirrors

Что могут сказать автору

- Вы не можете заявить о RRS при подаче в наш журнал. Вы можете и вам следует это сделать. Единственная возможность для журнала – это отклонить статью после подачи
- Перед тем, как приступить к подаче статьи, от вас потребуют согласия на оплату APC
Берегитесь! Этот издатель предлагает вам подписать в контрактное соглашение. Проверьте, есть ли возможность обсудить APC перед отправкой
- Издатель попросит вас подписать отдельный контракт о соблюдении эмбарго (несмотря на то, что они знают о вашем уже существующем соглашении о гранте со спонсором о публикации без эмбарго)
Берегитесь! Если вы подпишете договор и согласитесь на период эмбарго, Вы нарушите ваше грантовое соглашение

“Дым & зеркала” издателей

Некоторые издатели

- сознательно ставят сложную ситуацию авторов, желающих использовать RRS, в
- контракты издателя могут противоречить Грантовому соглашению, подписанному Университетом со Спонсором
- удаляют язык RRS из статьи (цензура или редактирование?)
- иногда предоставляют условия договора только после принятия статьи
- **Издатели** имеют право отклонять статьи с использованием RRS, но не имеют права запутывать, вводить в заблуждение или обманывать авторов, заставляя их нарушать свое грантовое соглашение.
- **cOAlition S** недавно написала письмо 150 издателям с просьбой обговаривать условия при подаче заявки.



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Part 4

The direction of travel

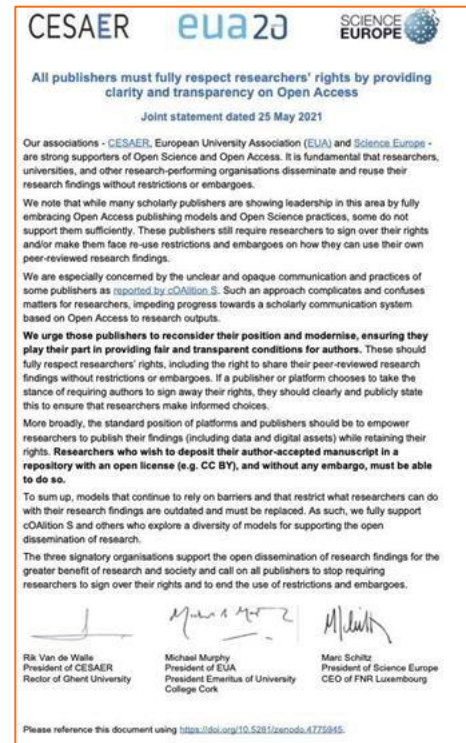
Направление движения



Письмо EUA, CESAER и SE к издателям

«Мы особенно обеспокоены неясной и непрозрачной коммуникацией и практикой некоторых издателей, о чем сообщает cOAlition S».

«Исследователи, которые хотят депонировать свою ААМ-версию рукописи в репозитории под открытой лицензией (например, CC BY) и без какого-либо эмбарго, должны иметь возможность это сделать».





unesco

UNESCO Recommendation on Open Science

Секция II: Определение Открытой Науки

“«Любая передача или лицензирование авторских прав третьим лицам не должны ограничивать право общества на немедленный открытый доступ к научной публикации». [п 7, стр. 9]

Rights Retention Strategy Плана S предшествует, но в точности отвечает этому пункту

Adopted Nov 2021

<https://en.unesco.org/science-sustainable-future/open-science/recommendation>

Заявление G6 по Открытой Науке

“Достижение 100% ОД является основной целью всех наших организаций, но исследователи не могут свободно делиться и опираться на результаты, которые они публикуют, если издатели владеют авторскими правами на их статьи и монографии. Поэтому мы стремимся поддерживать наших исследователей в сохранении их прав в отношении открытой публикации их научных статей и монографий, и мы призываем их публиковать свои результаты. (т.е. окончательную версию и/или рукопись) под открытой лицензией, предпочтительно лицензией Creative Commons Attribution License CC BY. “Brussels, December 2021



[← Back](#)

cOAlition S presents a new “Rights Retention Strategy” to safeguard researchers’ intellectual ownership rights

16 July 2020

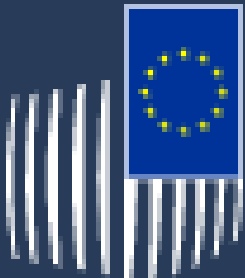
cOAlition S has released a [Rights Retention Strategy](#) that details under which conditions authors supported by Plan S funders are expected to share articles via self archiving in repositories, one of the three routes of Plan S.

The strategy specifies the exact conditions for this route. As announced, cOAlition S Organisations will facilitate this by changing their grant conditions to require that a Creative Commons Attribution licence (CC BY) is applied to all Author Accepted Manuscripts (AAMs) or Versions of Record (VoR) reporting original research, supported in whole or in part by their funding.

EUA welcomes the release of the Rights Retention Strategy by cOAlition S. Copyright retention of authors as well as self-archiving in repositories are important mechanisms to achieve full open access [supported by EUA](#).

«**Восстановление права собственности университетов в системе научного издательского процесса**

Университеты, исследовательские организации, исследователи, спонсоры исследований и национальные библиотеки играют решающую роль в восстановлении академического суверенитета над издательским процессом. Учреждения и исследователи отказались от своих прав в пользу коммерческих издателей, и эти издатели сделали авторское право своей опорой. Авторы и учреждения должны сохранить свои права интеллектуальной собственности (например, План S Rights Retention Strategy) и критически рассмотреть вопрос о том, какие участники процесса должны владеть и управлять издательской инфраструктурой с целью осуществления системных изменений».



Совет Европы

“Draft Council text in line with Plan S open-access initiative on intellectual property”

- Председательство Франции в Совете ЕС
- Проект министерской должности для министров исследований, которую должны принять в качестве государства-члена ЕС позиция правительств
- Авторы научных работ должны сохранять интеллектуальную собственность над своей работой
- 29 апреля: вариант проекта выводов Совета говорится: **«авторы публикаций или их учреждения должны сохранять достаточные права интеллектуальной собственности для обеспечения открытого доступа [к этим публикациям], что ведет к более широкому распространению, валоризации и повторному использованию результатов [исследований]».**
- В соответствии со RRS cOAlition S.



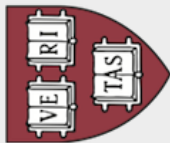
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Part 5


**Академическое сообщество
возвращает контроль**





Rights Retention Strategy (RRS)

Академия начинает возвращать контроль



HARVARD LIBRARY

Office for Scholarly Communication

For Authors
For Assistants
For Publishers


Harvard Faculty of Arts and Sciences Open Access Policy

ADOPTED FEBRUARY 12, 2008

The Faculty of Arts and Sciences of Harvard University is committed to disseminating the fruits of its research and scholarship as widely as possible. In keeping with that commitment, the Faculty adopts the following policy: Each Faculty member grants to the President and Fellows of Harvard College permission to make available his or her scholarly articles and to exercise the copyright in those articles. In legal terms, the permission granted by each Faculty member is a nonexclusive, irrevocable, paid-up, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, provided that the articles are not sold for a profit. The policy will apply to all scholarly articles written while the person is a member of the Faculty except for any articles completed before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. The Dean or the Dean's designate will waive application of the policy for a particular article upon written request by a Faculty member explaining the need.

To assist the University in distributing the articles, each Faculty member will provide an electronic copy of the final version of the article at no charge to the appropriate representative of the Provost's Office in an appropriate format (such as PDF) specified by the Provost's Office.

The Provost's Office may make the article available to the public in an open-access repository. The Office of the Dean will be responsible for interpreting this policy, resolving disputes concerning its interpretation and application, and recommending changes to the Faculty from time to time. The policy will be reviewed after three years and a report presented to the Faculty.




[Read the Author FAQ](#)
[Submit to DASH](#)
[Get A Waiver](#)

"Each Faculty member grants to the President and Fellows of Harvard College permission to make available his or her scholarly articles and to exercise the copyright in those articles."

"Each Faculty member will provide an electronic copy of the final version of the article."

<https://osc.hul.harvard.edu/policies/>


Page: Discussion

Additional resources

- This is a section within Good practices for university open-access policies.

Policies of the kind recommended in the guide

Chronological by date of adoption. Links point to policies, not institutional home pages.

For those considering adopting their own policies, we recommend starting with the current [Harvard model policy](#), which recommended practices described in this guide. HOAP project staff are available for consultation on drafting as well.

1. Harvard Faculty of Arts and Sciences@, February 12, 2008
2. Harvard Law School@, May 1, 2008
3. Stanford University School of Education@, June 26, 2008
4. Harvard Kennedy School of Government@, March 10, 2009
5. Massachusetts Institute of Technology@ (MIT), March 18, 2009
6. University of Kansas@, April 30, 2009
7. University of Oregon, Library Faculty@, May 7, 2009
8. University of Oregon, Department of Romance Languages@, May 14, 2009
9. Harvard Graduate School of Education@, June 1, 2009
10. Trinity University@, October 27, 2009
11. Oberlin College@, November 18, 2009
12. Wake Forest University, Library Faculty@, February 1, 2010
13. Harvard Business School@, February 12, 2010
14. Rollins College@, February 25, 2010
15. Duke University@, March 18, 2010
16. University of Puerto Rico Law School@, March 24, 2010
17. Harvard Divinity School@, November 15, 2010
18. The University of Hawaii-Manoa@, Faculty Senate December 2010, Final adoption March 2011
19. Columbia University, Lamont-Doherty Earth Observatory@, December 22, 2010
20. Shattuck University@, c. February 2011
21. Emory University@, March 15, 2011
22. Harvard Graduate School of Design@, March 20, 2011
23. Columbia University Libraries@, June 1, 2011
24. Princeton University@, September 19, 2011
25. Hope College@, October 15, 2011
26. University of Illinois Chicago, Library Faculty@, November 21, 2011
27. Bfost University@ (in English), or in Icelandic@, first vote May 2011, confirmed January 2012
28. Jomo Kenyatta University of Agriculture and Technology@, c. March 2012
29. Ohio State University Libraries@, March 15, 2012
30. Utah State University@, Aps 2012
31. Miami University of Ohio, Library faculty@, May 14, 2012
32. University of California - San Francisco@, May 21, 2012
33. University of Massachusetts Medical School@, July 27, 2012
34. McGill University Librarians@, c. October 2012
35. Rutgers University@, October 19, 2012

https://cyber.harvard.edu/hoap/Additional_resources#Policies_of_the_kind_recommended_in_the_guide

Rights Retention Strategy (RRS)

Академия начинает возвращать контроль



UiT The Arctic University of Norway

Approved by:	The Rector by authority from the University Board	Date:	16 December 2021
Unit responsible:	Research, Education and Communication Division	Archive ref.:	2021/5912
Replaces	Principles for open access to academic publications at U	Archive ref.:	2018/5399

PRINCIPLES FOR OPEN ACCESS TO ACADEMIC PUBLICATIONS AT UiT THE ARCTIC UNIVERSITY OF NORWAY

Open Access (OA) is a publishing model that benefits research and generally more cited than traditional publishing. It has a CV with open access promotion and research. Open Access has become a requirement for the Council of Norway for 100% of all research articles to be openly available by 2020.

For many years, UiT has had requirements for Open Access. Publishers of academic articles create uncertainty and legal responsibility is placed on the institution, not the individual researcher.

UiT's Rights Retention Strategy

UiT is introducing a Rights Retention Strategy to facilitate that all academic literature from UiT, not just that with external funding, is made available with Green OA.

As of 1 January 2022, the following applies: Irrespective of the publication channel, full-text versions of research articles written by employees and students at UiT must be uploaded (deposited) continuously in the national register (currently called Cristin).

- If a Gold OA channel has been used, the publisher's PDF (the published version, Version of Record) must be uploaded.
- If a closed subscription-based channel has been used that does not allow self-archiving of the publisher's PDF, the latest peer-reviewed manuscript version (the author's accepted manuscript, "postprint") must be uploaded.

Rights Retention Strategy (RRS)

Академия начинает возвращать контроль

THE UNIVERSITY
of EDINBURGH



<https://www.ed.ac.uk/information-services/about/policies-and-regulations/research-publications>



INFORMATION SERVICES

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About ISG

Policies and Regulations

Computing Regulations

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Security Policies

Operational Policies

Research Publications Policy

Research Data Management Policy

Advisory Policies

Home > Information Services > About ISG > Policies and Regulations > Research Publications Policy

Contact us

Research Publications & Copyright Policy (2021)


This policy supersedes and updates the first University of Edinburgh Research Publications Policy passed by University Court in 2010.

Academic staff at the University of Edinburgh have traditionally, when publishing research outputs, exercised an independent right to assign or give away their scholarly works (in addition to the University's right). This has enabled the current process of the corresponding author assigning copyright to publishers, which results in many journal articles and scholarly works now being under partial or complete ownership by the academic publishers.

In order for the University and its researchers to comply with funder requirements, and to enable the University to disseminate its research and scholarship as widely as possible, whilst enabling its staff to publish their work in a journal of their choice the University of Edinburgh will adopt the following mandatory policy which applies to all staff on research contracts :

[Research Publications & Copyright Policy \(190.03 KB PDF\)](#)





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Open Access

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Rights Retention Pilot

- Open Access ⤴
- Funder Open Access Policies ⤴
- Rights Retention Strategy
- > Rights Retention Pilot
- UK Open Access Policies
- Other funders' Open Access policies
- Is my journal compliant?
- UKRI Open Access Policy Update
- Plan 5

These webpages contain information on the rights retention pilot currently in place at the University of Cambridge.

The University needs to be able to disseminate research and scholarship as widely as possible and comply with its funder requirements, while enabling its researchers to publish in a journal of their choice.

In order to achieve this, the University has established pilot rights retention scheme on an opt-in basis. This pilot will be closely monitored and reviewed with a view to informing the next revision of the University's Open Access policy.

To sign up for the pilot, please use [this webform](#).

During this time, if you sign up for the pilot, you should include the following wording in a prominent place in the manuscript (e.g. the acknowledgements and/or funding statement) and cover letter from the initial point of submission:

'For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission'

Upon editorial acceptance, please upload a copy of the accepted manuscript to [Symplectic Elements](#). The Open Access team will deposit the manuscript into Apollo and will release it publicly at the appropriate time.

This pilot is based on the approach that the University of Edinburgh is taking with their new [Research Publications and Copyright Policy](#) and considers the advice of [Harvard University](#), where rights retention statements have been in use since 2008. We thank both universities for sharing their materials and welcome the reuse of the contents of our document by other institutions.



Принцип 1: Что могут сделать университеты

1. Тесное сотрудничество с библиотеками
2. Не терпите натиска внешних 3-х сторон, вызывающих у исследователей трудности с соблюдением требований - при необходимости обращайтесь за юридической поддержкой
3. Работа с юридическими службами для получения консультаций по сохранению авторских прав (в интересах авторов, а не издателей)
4. Повышение осведомленности авторов об авторском праве и лицензировании
5. Принять институциональную политику сохранения авторских прав и прав, гарантирующую, сохранение прав авторов



Plan S

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Open Access a reality

Part 6

Литература & Итоговые формулировки



**Publish with Power.
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It is in your power to make
sure that your publications
are freely available,
accessible, and reusable.

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#RetainYourRights

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By exercising your rights,
you share your knowledge
as you wish and allow
everyone to benefit from
your research.

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When you make your research
openly and immediately
accessible, you help make our
lives better, healthier, safer.

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#RetainYourRights

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The peer-reviewed Author
Accepted Manuscript
(AAM) is your intellectual
creation, your valuable
asset. Don't give it away.

**Publish with Power.
Protect your Rights.**




#RetainYourRights

Пакет RRS ресурсов от cOAlition S Доступен для использования и адаптации

USER GUIDE

Pre-submission & covering letter templates



Pre-submission letter to Journal

Dear Journal editor/publisher,

I am/we are considering submitting a new consideration for publication. (If relevant: I am/we are submitting a new original copyright transfer rights to one of our Author's Accepted Manuscripts) will contain the following:

"For the purposes of open access, a CC BY license will be applied to my/our work without embargo."

Please use will apply a CC BY license to my/our work without embargo.

Submissions cover letter template for

The following text can be included in authors' cover letters when being submitted for consideration for publication:

1. with the **NAME OF JOURNAL/PUBLISHER** result of a statement notifying the public of a statement notifying the public of the AAM resulting from this submission as applicable:

Yes

Please indicate which of the two statements for publication:

See by citation 1 and released under Public License, see <https://creativecommons.org/licenses/by/4.0/>

Templates to help authors ask for the clarity they need before peer review proceeds

**PUBLISH WITH POWER:
PROTECT YOUR RIGHTS**



Plan S

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Open Access a reality

The Author's Rights Quiz

How well do you know your rights as an author?

Let's find out! Press Enter

How to reuse & share your knowledge as you wish through Rights Retention



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Available at: <https://www.coalition-s.org/resources/rights-retention-strategy/>

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Сохранение прав- the Quiz

Как хорошо вы знаете свои права?



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The Author's Rights Quiz
How well do you know your rights as an author?

Let's find out! press Enter ↵

Available at: <https://www.coalition-s.org/resources/rights-retention-strategy/>

Ресурсы для библиотечкарей

1. Некоторые доступны для загрузки
2. Можно изменять и использовать—CC BY
3. Ваши предложения приветствуются



Rights Retention Strategy: Resources for Librarians

cOAlition S [Rights Retention Strategy](#) (RRS) aims to help authors retain and assert their rights as Author Accepted Manuscripts. This means they can use their own intellectual creation as they choose. The RRS is a funders' OA policy where this is required or an obligation. See a detailed [Primer on the Rights Retention Strategy](#).

cOAlition S recognises that copyright is a complex and daunting topic for researchers to understand. Most researchers are primarily concerned about completing the publishing process of their article. Authors are able to seek RRS advice from their local institutional librarian to find out what they have to do to comply with their funder's OA policy.

cOAlition S has produced a number of resources that are available to be used freely by institutional support staff such as support services for their researchers. Some are released under an open licence and can therefore be adapted for use. Suggestions for use are offered below. cOAlition S welcomes news and examples of how libraries have used these resources, and any feedback from researchers that can be shared. Please feel free to send [comments](#) to your support resources that would be useful to you and researchers at your institution.

Resource	Purpose	Recommendations for use
RRS Overview page	Subs of resources to support rights retention strategy	Resources can be used freely for information and training for researchers
User guide to the resources & request form template	Information for researchers and others about why and how to use the two mentioned resources. Includes links to the site submission user templates and the submission covering letter	<ul style="list-style-type: none"> Fill the text to match your local situation Aim not to reproduce your institutional branding and style Use in training and information materials Include guidance and links to the submission message and covering letter template
The submission message template	Use to request clarity from a potential publisher on journal policy regarding author rights retention. May be used for an exploratory query to a number of journals or just prior to submission when starting an article	<ul style="list-style-type: none"> Fill the text to match your local situation Aim not to reproduce your institutional branding and style
Submission covering letter template	Use as part of a covering letter when submitting an article to request clarity from a potential publisher on the chosen journal's policy regarding author rights retention	<ul style="list-style-type: none"> Fill the text to match your local situation Aim not to reproduce your institutional branding and style
RRS Q&A	Questions intended to help authors learn about and understand the consequences of accepting or granting exclusive rights to a publisher, and to explain some of the benefits of the RRS	Use in training and information materials
RRS guidance page	Explain what the RRS is and the reason for doing so	<ul style="list-style-type: none"> Use in training and information materials Link from library or other website
Presentation slide deck overview	<ul style="list-style-type: none"> To help inform researchers why and how to use the RRS To inform authors of the need to retain and assert their rights To address the message that copyright is power – whoever controls those rights controls permitted uses of the work To support presenters to secure management an rights retention 	<ul style="list-style-type: none"> Use for training and advocacy for researchers on rights, benefits, retention and assertion Do they give and what slides to use when for example making a case for an institutional rights retention policy
Presentation slide deck regarding rights retention open access process accepted by institutions	To enable others to learn from colleagues who have gone through the process of adapting and implementing such a policy. Available on slides 5-10 Chapter 10 Manager <ul style="list-style-type: none"> Open Access: A Librarian's Guide to the RRS Open Access: A Librarian's Guide to the RRS Open Access: A Librarian's Guide to the RRS Open Access: A Librarian's Guide to the RRS 	To inform discussions around adopting a similar policy of your institution
Tagline: Publish with Power - Protect your Rights	Easily memorable core message of RRS	<ul style="list-style-type: none"> Use in your literature and on any training materials Use as hashtag on social media #PublishWithPower - #ProtectYourRights
Funder Provided Materials	cOAlition S funders often openly provide open access policy templates tailored to their specific grantee audience. For example: <ul style="list-style-type: none"> UK Research Councils UK Research Councils UK Research Councils UK Research Councils UK Research Councils 	<ul style="list-style-type: none"> Reuse these specific materials for tailored audiences Use in conjunction with Open Access: A Librarian's Guide to the RRS that includes direct links to funder policies
Examples of RRS support resources created by libraries	Great examples of resources created by librarians. This list provides a handful of examples. For details on your RRS support resources listed here, or view of an excellent example that we already included: <ul style="list-style-type: none"> University of Warwick University of Warwick University of Warwick University of Warwick 	<ul style="list-style-type: none"> Gain inspiration by seeing what library colleagues have created Learn from peers Please check individual permissions before making use of these examples

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Protect your Rights.

Ресурсы для администраторов науки

Продвигайте политику Plan S в своей организации

1. 10 принципов Plan S

1. Ключевые вопросы в университетах

1. Что университеты могут сделать

Facilitating Plan S policy in your institution

NEW!

Plan S Principle	Key themes for Unis	What unis can do
Principle 1: Authors or their institutions retain copyright to their publications. All publications must be published under an open license, preferably the Creative Commons Attribution license (CC BY), in order to fulfill the requirements defined by the Berlin Declaration;	<ul style="list-style-type: none">• Copyright• Open licenses• Content ownership & control• Challenges for researchers• Content (article content, data, etc) are the crown jewels of research outputs at your institution	<ol style="list-style-type: none">1. Work closely with libraries to ensure compliance difficulties are resolved if necessary2. Do not tolerate publishers' policies on author's rights retention (to be avoided, not publishers)3. Work with legal services on author's rights retention (to be avoided, not publishers)4. Raise awareness of copyright & licensing with authors5. Adopt an institutional copyright & rights retention policy ensuring rights remain with authors
Principle 2: The Funders will develop robust criteria and requirements for the services that high-quality Open Access journals, Open Access platforms, and Open Access repositories must provide;	<ul style="list-style-type: none">• Technical requirements targeted at infrastructure• Affects internal services that integrate with repository eg CRIS• Includes 'soft' requirements such as 'helpdesk'	<ol style="list-style-type: none">1. Where available use funds to ensure an excellent institutional repository service (inc support for staff)2. Register repository in OpenDOAR https://www.openaccess.org/openaccess and monitor progress towards requirements3. Insist on high quality consistent metadata across all university services eg name authority, FunderID https://www.crossref.org/services/funder-registry/, Research Organization https://www.rii.ac.uk/4. Implement institutional ORCID service and strongly encourage use at all possible junctures https://orcid.org/5. Work with researchers who run their own journals, especially internally
Principle 3: In cases where high-quality Open Access journals or platforms do not yet exist, the Funders will, in a coordinated way, provide incentives to establish and support them when appropriate; support will also be provided for Open Access infrastructures where necessary	<ul style="list-style-type: none">• Potential support for repositories• Local small publishers (eg academics running small journals)• Journal editors and editors in chief• Consider innovative publishing	<ol style="list-style-type: none">1. Build & improve the local institutional repository service. Use funding if available2. Promote Diamond OA opportunities to researchers and promote the Diamond Action Plan https://www.diamond.ac.uk/3. Familiarise themselves with and promote the SPA-OPS Toolkit to foster Open Access Agreements for society publishers https://www.informapublishers.co.uk/oa-ops-toolkit/4. Engage local editors & editors in chief to find solutions for

Итоговые послания

- 1. Содержание статьи принадлежит авторам,** они могут использовать его по своему выбору на благо авторов, институтов и общества в целом.
- 2. RRS помогает авторам сохранить свои права,** являясь инструментом помогающим выполнению соглашения о финансировании. Институциональная политика РРС является еще более мощным инструментом.
- 3. В то время как некоторые издатели продолжают отказывать авторам в их правах и оставлять их за собой, ключевые игроки: спонсоры: авторы, учреждения.** могут это исправить

Дополнительная информация

- cOAlition S website - Rights Retention Strategy
<https://www.coalition-s.org/rights-retention-strategy/>
- Implementation roadmap for cOAlition S organisations
<https://www.coalition-s.org/plan-s-funders-implementation/>
- Journal Checker Tool: <https://journalcheckertool.org/>
- Creative Commons licences: <https://creativecommons.org/>
- email: info@coalition-s.org



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Questions & Discussion

Access



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